




IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Edward E. Horton III)
)
Application Number: 10/616,400)
)
Filed: July 9, 2003)
)
Group Art Unit: 3673)
)
Examiner: Sunil Singh)
)
For: MULTI-COLUMN FLOATING)
PLATFORM WITH CENTRAL)
RISER BUOY)

| CERTIFICATE OF MAILING | |
|---|--|
| I hereby certify that this document is being deposited on November 16, 2004 with the U.S. Postal Service as First Class Mail under 37 C.F.R. 1.8 and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. | |
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| _____ Carrie Anne Cheung <i>Typed or Printed Name of Person Mailing Correspondence</i> | |
| Date of Signature: <u>November 16, 2004</u> | |

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

REQUEST TO MAKE
PREVIOUSLY-CITED PRIOR ART OF RECORD

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

November 16, 2004

Sir:

Applicant respectfully requests the United States Patent and Trademark Office to make of record all the references previously submitted with Information Disclosure Statement filed on October 10, 2003.

As evidence of Applicant's previous submission of the references in connection with the present application, Applicant encloses a copy of the postcard indicating receipt of the references by the United States Patent and Trademark Office.

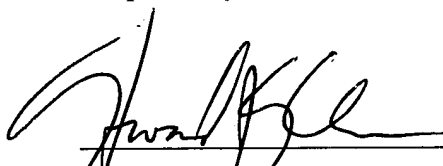
U.S. Patent Application No.: 10/616,400
Filing Date: July 9, 2003

PATENT
Attorney Docket 713-53-PA

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 11-1159 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

Date: November 16, 2004



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Registration No. 28,727

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Attorney Docket No. 713-53-PA

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
713-53-PA

In Re Application Of: **Edward E. Horton III**

NOV 19 2004



Serial No.
10/616,400

Filing Date
07/09/2003

Examiner

Group Art Unit

Title:
MULTI-CELLULAR FLOATING PLATFORM WITH CENTRAL RISER BUOY

Address to:

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Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.
713-53-PAIn Re Application: **Edward E. Horton III**Serial No.
10/616,400Filing Date
07/09/2003

Examiner

Group Art Unit

MULTI-CELLULAR FLOATING PLATFORM WITH CENTRAL RISER BUOY**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F):

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Barbara O'Neill

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Signature

Dated: October 8, 2003

Howard J. Klein, Reg. No. 23,727

KLEIN, O'NEILL & SINGH, LLP

2 Park Plaza, Suite 510

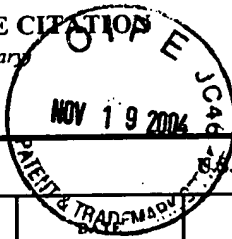
Irvine, CA 92614

Tel: 949-955-1920

Fax: 949-955-1921

INFORMATION DISCLOSURE CITATIONS

(Use several sheets if necessary)



| | | |
|--|----------------|---|
| Docket Number (Optional) 713-53-PA | | Application Number 10/616,400 |
| Applicant(s) Edward E. Horton III | | |
| Filing Date 07/09/03 | Group Art Unit | |

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|-----------------|---------|-----------------|-------|----------|-------------------------------|
| | | 4,217,848 | 08/1980 | Meyer-Haake | | | |
| | | 4,913,238 | 04/1990 | Danazcko et al. | | | |
| | | 5,439,321 | 08/1995 | Hunter | | | |
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FOREIGN PATENT DOCUMENTS

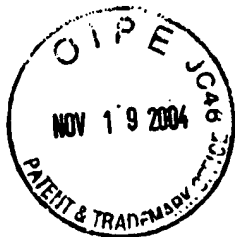
| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|--|-----|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER | DATE CONSIDERED |
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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U.S. Serial No. 10/616,400
MULTI-CELLULAR FLOATING PLATFORM WITH CENTRAL RISER BUOY
Applicant : Edward E. Horton III
Docket No.: 713-53-PA

Enclosed:
Transmittal of Information Disclosure Statement
Form PTO A820 with three (3) references
Certificate of mailing
Postcard

Date Mailed: October 8, 2003



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